

# ISA Transfer Request Form

## for Modular ISA and Wrap ISA



### Application guide

Please complete this form if you wish to transfer an existing ISA into your James Hay Modular ISA or Wrap ISA.

You will need to complete a separate 'ISA Transfer Request Form' for each ISA manager from whom you are transferring an ISA.

If you wish to transfer any of your existing ISA investments in-specie, please check that the investments you wish to transfer can be held in your Modular ISA or Wrap ISA. You can do this by referring to the relevant Permitted Investments List for your product, available on our website [www.jameshay.co.uk](http://www.jameshay.co.uk), or by speaking to your financial adviser.

For details of the charges applicable for transferring investments, please refer to the relevant product's Charges Schedule.

**Please complete this form in BLOCK CAPITALS and return it to James Hay, Suite 202 Warner House, 123 Castle Street, Salisbury, SP1 3TB.**

If you require any assistance, please call your Customer Support Team or our general enquiry number 03455 212 414.

### 1 Personal details

Applicant to complete

Title

Forename(s)

Surname

Date of birth

National Insurance number

Address

  
  
  


Postcode

Type of ISA product you have with us:

 Modular ISA  Wrap ISA 

Modular ISA or Wrap ISA number

### 2 Details of ISA you wish to transfer in

Applicant to complete

ISA manager name

ISA manager address

  
  
  


Postcode

Account number(s) of ISA to be transferred

  
  
  
  


### 3 Transfer details

Applicant to complete

I wish to transfer in a:

 Cash ISA 

 Stock and Shares ISA 

**Your James Hay ISA is defined as a Stocks and Shares ISA under ISA regulations.**

Please tick as appropriate:

 Please sell the investments held in my transferring ISA and transfer the cash proceeds to my James Hay ISA. 
**OR**

 Please arrange for the transfer of investments held in my transferring ISA to my James Hay ISA<sup>1</sup>. 

If it is not possible to transfer the investments in the above ISA, please arrange for these to be sold and transferred in cash.

 Yes  No 

<sup>1</sup> Any transfer of investments into your James Hay ISA will be subject to your existing ISA manager allowing this type of transfer.

Amount to be transferred

£ 

OR

Full amount of the ISA 

Current value of ISA

£ 

Is any of the amount to be transferred from your current year's subscriptions?

Yes  No 

If Yes, please state the amount

£ **For in-specie transfers only**

Upon receipt of this form, we will request a current valuation from the transferring ISA manager.

Please note that if any investment being transferred is available within the Investment Centre, then it will be transferred to the Investment Centre.

Any collective investment that is not available in the Investment Centre will be held directly within the ISA (where permissible).

If you are transferring shares, you will need to open a sharedealing account provided by a stockbroker approved by us for use in the ISA. Shares that are transferred will be registered in the name of your stockbroker or its nominee.

## 5 Authority and instruction to transferring ISA manager

I hereby authorise you to transfer the cash proceeds of the ISA listed in Section 2 (where applicable) to James Hay Wrap Managers Limited to hold in my James Hay ISA specified in Section 1.

I hereby authorise you to transfer in-specie the investments of the ISA listed in Section 2 (where applicable) to James Hay Wrap Nominee Company Limited or, in the case of shares, to such nominee as my stockbroker directs.

I hereby authorise you to provide James Hay Partnership with all such relevant information relating to my ISA held with you, including but not limited to, transaction and dividend histories and details of the current portfolio and its value.

I instruct you to pay out any distribution and/or tax credits directly to myself, if they are received after the date of the transfer.

Signature

Date

Print name

We are able to provide literature in alternative formats. For a Braille, large print, audio or E-text version of this document call us on 03455 212 414 (or via the Tynetalk service on 18001 03455 212 414).

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