

ISA Transfer Request Form

for Modular ISA and Wrap ISA



Application guide

Please complete this form if you wish to transfer an existing ISA into your James Hay Modular ISA or Wrap ISA.

For details of the charges applicable for transferring investments, please refer to the relevant product's Charges Schedule.

You will need to complete a separate 'ISA Transfer Request Form' for each ISA manager from whom you are transferring an ISA.

Please complete this form in BLOCK CAPITALS and black ink and return it to James Hay Partnership, Dunn's House, St. Paul's Road, Salisbury, SP2 7BF.

If you wish to transfer any of your existing ISA investments in-specie, please check that the investments you wish to transfer can be held in your Modular ISA or Wrap ISA. You can do this by referring to the relevant Permitted Investments List for your product, available on our website www.jameshay.co.uk, or by speaking to your financial adviser.

If you require any assistance, please call your Customer Support Team or our general enquiry number 03455 212 414.

1 Personal details Applicant to complete

Title

Forename(s)

Surname

Date of birth

National Insurance number

Address

 Postcode

Type of ISA product you have with James Hay:
 Modular ISA Wrap ISA

Modular ISA or Wrap ISA number

2 Details of ISA you wish to transfer in Applicant to complete

ISA manager name

ISA manager address

 Postcode

Account number(s) of ISA to be transferred

3 Transfer details Applicant to complete

I wish to transfer in a:

Cash ISA

Stock and Shares ISA

Important note: Your James Hay ISA is defined as a Stocks and Shares ISA under ISA regulations. If you are transferring a Stocks and Shares ISA from another provider that contains subscriptions for the current tax year, you will not be able to subscribe to your James Hay ISA until the transfer has fully completed.

This may impact your ability to fully utilise your annual ISA allowance if you are transferring current year Stocks and Shares ISA subscriptions to James Hay close to the end of the tax year.

Please tick as appropriate:

Please sell the investments held in my transferring ISA and transfer the cash proceeds to my James Hay ISA.

OR

Please arrange for the transfer of investments held in my transferring ISA to my James Hay ISA¹.

If it is not possible to transfer the investments in the above ISA, please arrange for these to be sold and transferred in cash.

Yes No

¹ Any transfer of investments into your James Hay ISA will be subject to your existing ISA manager allowing this type of transfer.

Amount to be transferred

£

OR

Full amount of the ISA

Current value of ISA

£

Is any of the amount to be transferred from your current year's subscriptions?

Yes No If **Yes**, please state the amount£ **For in-specie transfers only**

Upon receipt of this form, we will request a current valuation from the transferring ISA manager.

Please note that if any investment being transferred is available within the Investment Centre, then it will be transferred to the Investment Centre.

Any collective investment that is not available in the Investment Centre will be held directly within the ISA (where permissible).

If you are transferring shares, you will need to open a sharedealing account provided by a stockbroker approved by us for use in the ISA. Shares that are transferred will be registered in the name of your stockbroker or its nominee.

5 Authority and instruction to transferring ISA manager

I hereby authorise you to transfer the cash proceeds of the ISA listed in Section 2 (where applicable) to James Hay Wrap Managers Limited to hold in my James Hay ISA specified in Section 1.

I hereby authorise you to transfer in-specie the investments of the ISA listed in Section 2 (where applicable) to James Hay Wrap Nominee Company Limited or, in the case of shares, to such nominee as my stockbroker directs.

I hereby authorise you to provide James Hay Partnership with all such relevant information relating to my ISA held with you, including but not limited to, transaction and dividend histories and details of the current portfolio and its value.

I instruct you to pay out any distribution and/or tax credits directly to myself, if they are received after the date of the transfer.

Signature

Date

Print name

We are able to provide literature in alternative formats. For a Braille, large print, audio or E-text version of this document call us on 03455 212 414 (or via the Typetalk service on 18001 03455 212 414).

James Hay Partnership is the trading name of Nucleus Group Services Limited (NGSL) (registered in England number 02538532); James Hay Services Limited (JHS) (registered in Jersey number 77318); IPS Pensions Limited (IPS) (registered in England number 2601833); James Hay Administration Company Limited (JHAC) (registered in England number 4068398); James Hay Pension Trustees Limited (JHPT) (registered in England number 1435887); James Hay Wrap Managers Limited (JHWM) (registered in England number 4773695); James Hay Wrap Nominee Company Limited (JHWNC) (registered in England number 7259308); PAL Trustees Limited (PAL) (registered in England number 1666419); Sarum Trustees Limited (SarumTL) (registered in England number 1003681); The IPS Partnership Plc (IPS Plc) (registered in England number 1458445); Union Pension Trustees Limited (UPT) (registered in England number 2634371). JHS has its registered office at Aztec Group House, 11-15 Seaton Place, St Helier, Jersey, JE4 0QH. NGSL, IPS, JHAC, JHPT, JHWM, JHWNC, PAL, SarumTL, IPS Plc, and UPT have their registered office at Dunn's House, St Paul's Road, Salisbury, SP2 7BF. JHAC, JHWM, IPS and IPS Plc are authorised and regulated by the Financial Conduct Authority. (2/23)