

# EQi Account Application for James Hay Wrap



Please complete this form in conjunction with reading EQi's Terms and Conditions for the James Hay Wrap Service.  
If you have any questions about this form please call our Customer Experience Centre on **0333 207 6371**.  
Please complete all fields in this form (where applicable).

## Section A: Member Details

EQi account number (If an existing customer)	James Hay account number (If an existing customer)				
Title	Mr	Mrs	Miss	Ms	Other
First name(s)					
Last name					
Date of birth	Day	Month	Year		
Telephone number					
Email Address					
Country and town of birth					
Mother's maiden name					
Postal address					

### Tax residence details:

Country of tax residence

National Insurance number

If you do not have a National Insurance number/  
Tax Identification number, please indicate why

Additional country of tax residence (1) (2)

Taxpayer Identification number (1) (2)

Are you a United States Green Card holder? Yes No

### Nationality details:

Please disclose your nationality details, by completing the country of each (up to three) in the boxes below.

### Primary nationality details:

If you have **sole UK nationality**, you do not need to complete this part. For all other customers, you will need to establish your primary nationality and complete the relevant National Identifier & National ID Code for this in the box below, according to the instructions in the **Appendix** (at the end of the form) or using [www.nationalitycalculator.co.uk](http://www.nationalitycalculator.co.uk).

First priority	National Identifier	National ID Code	Tick if unable to provide
Second priority			

# EQi Account Application for James Hay Wrap



## Section B: Employer Contract Notes

---

Does your employer need copies of your contract notes?  Yes  No

If so, please provide your employer's details (including contact name, UK office address and postcode).

## Section C: Share Dealing Services Required

---

Please tick the appropriate box(es) to indicate which of the following Products you require as part of your Wrap Service. We will issue you with a separate account number for each of the products.

Dealing Account	James Hay Shares ISA	SIPP Dealing Account
-----------------	----------------------	----------------------

## Section D: Declaration

---

Please open the selected EQi account(s). I declare that I am over 18 years of age. I declare that this application form has been completed to the best of my knowledge and belief, and I will promptly inform EQi of any changes in my circumstances. I accept that EQi may in their absolute discretion decline this application without a reason being given. I acknowledge that EQi offers an execution-only investment service.

I agree to be bound by the EQi Terms and Conditions for the James Hay Service which form part of this Application Form.

I agree to my Financial Adviser being provided with online access to the account(s) and the ability to trade both online and via the telephone.

### I fully accept and agree:

- That I am solely responsible for all decisions relating to the purchase, retention and sale of the investments forming part of the various products within my James Hay Wrap.
- That EQi and/or James Hay may use third party sources to verify my identity, including address details.
- That James Hay has not carried out, and shall not in future carry out, any due diligence on the EQi platform. I am, or my Financial Adviser is, responsible for checking these matters on my behalf and ensuring the EQi platform is suitable for my investment objectives.

Signed

Date

Day Month Year

## Next Steps

---

Please remember to print and sign your form with a wet signature before submission, please return this form to:

The James Hay Partnership,  
Dunn's House,  
St Paul's Road,  
Salisbury,  
SP2 7BF

You will receive most communications from us by secure message, accessible from your account. Should you wish to change this preference, please call **0333 207 6371**.

EQi® is a trading name of Equiniti Financial Services Limited whose registered office is Highdown House, Yeoman Way, Worthing, West Sussex BN99 3HH. Equiniti Financial Services Limited is part of the Equiniti Group. Investment and general insurance services are provided through Equiniti Financial Services Limited, which is registered in England & Wales with No. 6208699 and is authorised and regulated by the UK Financial Conduct Authority. Equiniti Financial Services Limited is a member firm of the London Stock Exchange.

# EQi Account Application for James Hay Wrap



## Appendix: National Identifier Instructions

Below, you will find a table that lists the countries of the European Economic Area (EEA), including a section for any non-EEA countries, and details of each country's requested National Identifier. Following the instructions and using the information provided in the table, please establish your **primary nationality** & complete the relevant **National Identifier** number in Section A. Alternatively you can refer to [www.nationalitycalculator.co.uk](http://www.nationalitycalculator.co.uk).

**You are not required to complete the National Identifier details in Section A if you are a UK National only.**

### Using the table

#### 1. Establishing your primary nationality

Referring to the table below and the **country column**, whichever of your nationalities is listed **highest** will be your primary nationality. (Please note, the countries are ordered alphabetically according to the National ID Code). *E.g. if you are a national of the United Kingdom and Spain, as Spain is listed higher than the UK in the Country column list, your primary nationality will be Spain.*

#### 2. Identifying your National Identifier

Once you have established your **primary nationality**, you must provide us with the relevant **National Identifier** details as requested in the table, in the order of priority as indicated in each field. Please refer to the country specific instructions in the table for further guidance. *E.g. using the same example as above, if your country of primary nationality is Spain, you will need to complete your Spanish Tax Identification Number & the National ID Code (ES1) in Section A.*

#### Please note:

- If you are unable to provide a National Identifier number as requested, please complete the National ID Code(s) & **tick the box** in Section F to confirm that you are unable to provide the details.
- You only need to provide **one** National Identifier **in the order of priority** listed in each field. *E.g. if you have established that your country of primary nationality is Czech Republic, you should only provide us with your Czech Republic National Passport Number if you are unable to provide your National Identification Number in the first instance and tick to confirm you are unable to provide the first priority National Identifier.*
- \*If you have established your primary nationality as one of the following countries, **Estonia, Spain, Iceland, Italy, Malta or Poland** and you are unable to provide a National Identifier as requested, **trading rules will prevent us from accepting your instruction to trade or transfer.**

**Please contact the Customer Experience Centre if you have any questions regarding this table and/or completing the relevant details in Section A.**

Country	National Identifier	National ID Code	Country specific instructions for completing Section A
Austria	No additional data required	AT1	Leave the National Identifier & National ID Code boxes blank.
Belgium	<b>Belgian National Number (Numéro de register national – Rijksregisternummer)</b>	BE1	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.
Bulgaria	<b>Bulgarian Personal Number</b>	BG1	
Cyprus	<b>National Passport Number</b>	CY1	
Czech Republic	First Priority: <b>National Identification Number (Rodné číslo)</b>	CZ1	Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: <b>National Passport Number</b>		
Germany	No additional data required	DE1	Leave the National Identifier & National ID Code boxes blank.

# EQi Account Application for James Hay Wrap



Country	National Identifier	National ID Code	Country specific instructions for completing Section A
Denmark	Personal Identity Code	DK1	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have it.
*Estonia	Estonian Personal Identification Code (Isikukood)	EE1	
*Spain	Tax Identification Number (Código de identificación fiscal)	ES1	
Finland	Personal Identity Code	FI1	
France	No additional data required	FR1	Leave the National Identifier & National ID Code boxes blank.
United Kingdom	UK National Insurance Number	GB1	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.
Greece	10 DSS Digit Investor Share	GR1	
Croatia	Personal Identification Number (OIB – Osobni identifikacijski broj)	HR1	
Hungary	No additional data required	HU1	Leave the National Identifier & National ID Code boxes blank.
Ireland	No additional data required	IR1	
*Iceland	Personal Identity Code	IS1	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.
*Italy	Fiscal code (Codice Fiscale)	IT1	
Liechtenstein	First Priority: Personal code (Asmens Kodas)	LI1	Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: National Passport Number	LI2	
Lithuania	First Priority: Personal code (Asmens Kodas)	LT1	
	Second Priority: National Passport Number	LT2	
Luxembourg	No additional data required	LU1	Leave the National Identifier & National ID Code boxes blank.
Latvia	Personal Code (Personas Kods)	LV1	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.

# EQi Account Application for James Hay Wrap



Country	National Identifier	National ID Code	Country specific instructions for completing Section A
*Malta	First Priority: <b>National Identification Number</b>	MT1	Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: <b>National Passport Number</b>	MT2	
Netherlands	First Priority: <b>National Passport Number</b>	NL1	
	Second Priority: <b>National Identity Card Number</b>	NL2	
Norway	<b>11 digit Personal ID (Foedselsnummer)</b>	NO1	Enter the requested National Identifier & National ID Code details, or tick to confirm if you do not have this.
*Poland	First Priority: <b>National Identification Number (PESEL)</b>	PL1	Enter the National Identifier & National ID Code in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: <b>Tax Number (Number Identyfikacji podatkowej)</b>	PL2	
Portugal	First Priority: <b>Tax Number (Número de Identificação Fiscal)</b>	PT1	
	Second Priority: <b>National Passport Number</b>	PT2	
Romania	First Priority: <b>National Identification Number (Cod Numeric Personal)</b>	RO1	
	Second Priority: <b>National Passport Number</b>	RO2	
Sweden	<b>Personal Identity Number</b>	SE1	Enter the requested National Identifier and National ID Code details or tick to confirm if you do not have this.
Slovenia	<b>Personal Identification Number (EMŠO: Enotna Matična Številka Občana)</b>	SL1	
Slovakia	First Priority: <b>Personal Number (Rodné číslo)</b>	SK1	Enter the National Identifier details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: <b>National Passport Number</b>	SK2	
All other Countries (including Crown Dependencies such as Jersey, Guernsey, Isle of Man etc)	<b>National Passport Number</b>	XX1	Enter the requested National Identifier and National ID Code or tick to confirm you do not have it. If you are a national of more than one non-EEA country, please refer to <a href="http://www.nationalitycalculator.co.uk">www.nationalitycalculator.co.uk</a> or contact our Customer Experience Centre to assist in establishing your Primary Nationality.