

Appointment of Financial Adviser Form



Application guide

This form should be completed if you wish to appoint a Financial Conduct Authority (FCA) regulated financial adviser.

If you have agreed with your financial adviser that they may take an adviser charge from your product(s), please also complete the Adviser Charges Form for your product(s). You can obtain a copy of this form from our website at www.jameshay.co.uk.

Please complete this form in BLOCK CAPITALS and black ink and return it to: James Hay, Suite 202 Warner House, 123 Castle Street, Salisbury, SP1 3TB. If you need any help to complete this form, please call our Customer Support Team or our general enquiry number 03455 212 414.

1 Personal details

Applicant to complete

Full name

Product number(s)

Please detail all product numbers this authority applies to.

Permanent residential address

Postcode

Is the address provided above your new address? Yes No

If **Yes**, please confirm your previous address below.

Previous address

Postcode

2 Financial adviser's details

Applicant to complete

Company name

Network name (if applicable)

Contact name

FCA firm reference number

Address

Postcode

Telephone

Email

PLEASE NOTE: Your financial adviser will need to sign up to our Financial Adviser Terms of Business. This form is available from our website at www.jameshay.co.uk.

I hereby declare as follows:

I agree to the appointment of the financial adviser specified above.

I confirm the above named financial adviser firm is replacing any previous financial adviser appointed to my product(s). This authority will remain in force until I notify you otherwise in writing.

I accept that by appointing a financial adviser, you will send correspondence to my financial adviser unless I have requested otherwise or if I cease to use the services of my financial adviser.

I give my authority for you to accept investment and disinvestment instructions from my financial adviser.

If you hold a Modular iPlan or Wrap, this change of agency will be applied to all underlying products within the group. If you hold more than one product which is not held under a single Modular iPlan or Wrap group then this change of agency will only be applied to the specific plan numbers stated above.

I request the scheme administrator to act on instructions in accordance with the provisions of the product(s) held with James Hay Partnership, until otherwise instructed.

Client signature

Date

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We are able to provide literature in alternative formats. For a Braille, large print, audio or E-text version of this document call us on 03455 212 414 (or via the Typetalk service on 18001 03455 212 414).

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NGSL, IPS, JHAC, JHPT, JHWM, JHWNC, PAL, SarumTL, IPSP, UPT have their registered office at Suite B & C, First Floor, Milford House, 43-55 Milford Street, Salisbury, SP1 2BP. JHS has its registered office at Aztec Group House, IFC6, The Esplanade, St Helier, Jersey, JE4 0QH. JHAC, JHWM, IPS, IPSP, are authorised and regulated by the Financial Conduct Authority. NGSL, IPS, IPSP, PAL, UPT, JHWM, JHPT, JHAC, SarumTL and JHS are members of a VAT group with VAT registration number 514 0358 80. All companies are wholly owned subsidiaries of Nucleus Financial Platforms Limited (registered in England, number 06033126) whose registered office is at Suite B & C, First Floor, Milford House, 43-55 Milford Street, Salisbury, SP1 2BP, and are members of the Nucleus Group. Further details of the Nucleus Group can be found at nucleusfinancial.com (12/24)