

Confirmation of advice to transfer pension fund to James Hay Partnership



Application guide

Please complete this form if you are a financial adviser and you have advised the below named applicant on transferring an occupational defined benefits pension scheme, or an occupational money purchase pension scheme which contains safeguarded benefits, to James Hay Partnership.

If the applicant is transferring an occupational money purchase pension scheme that does not contain safeguarded benefits, then before we can proceed we require either the completion of this form confirming that you have advised the applicant to transfer the scheme to James Hay Partnership, or written confirmation from the transferring scheme that the transfer does not contain any safeguarded benefits.

Please complete this form in BLOCK CAPITALS and return it to: James Hay, Suite 202 Warner House, 123 Castle Street, Salisbury, SP1 3TB. If you need assistance, please call your Customer Support Team or our general enquiry number 03455 212 414.

If you are not the named financial adviser on the transfer form, we'll need a signed letter of authority from the applicant before accepting this form.

1 Applicant details

Financial adviser to complete

Applicant name	<input type="text"/>
Name of transferring scheme	<input type="text"/>
Transferring scheme policy number	<input type="text"/>

2 Declaration

Financial adviser to complete

I confirm in respect of the proposed transfer from the above named transferring scheme that:

- This transfer contains safeguarded benefits, as defined by the Financial Conduct Authority (FCA) Yes No
- I have provided the above named applicant with advice in respect of this transfer in accordance with, in particular, the Financial Conduct Authority Conduct of Business Sourcebook, Chapter 19 Yes No
- I have given a personal recommendation that the pension transfer is suitable for the applicant and that the applicant should proceed with this transfer Yes No
- The personal recommendation has been given/checked by a pension transfer specialist as defined by the FCA and includes an appropriate pension transfer analysis and transfer value comparator as applicable Yes No
- I am qualified to provide financial advice in respect of pension transfers, as defined by the FCA, and my firm has the appropriate FCA permissions to provide advice on pension transfers and opt outs. Yes No

Financial adviser's signature

Name of firm

Date

D	D	M	M	Y	Y	Y	Y
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FCA firm reference number

Name of financial adviser

We are able to provide literature in alternative formats. For a Braille, large print, audio or E-text version of this document call us on 03455 212 414 (or via the Ttypetalk service on 18001 03455 212 414).

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www.jameshay.co.uk